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Substitute for form 1449B/PTO

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

1

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Application Number

10/608.404

Filing Date

JUNE 26, 2003

First Named Inventor

LIU, ET AL.

Group Art Unit

~~1765~~ 1742

Examiner Name

UNKNOWN Alexander

Attorney Docket Number

AMAT/5699.P3/CMP/CMP/RKK

Submission Date

10/11, 2004

NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner

Date Considered

June 17, 2005

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

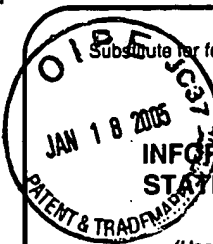
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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 <p>Substitute for form 1449A/PTO</p> <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>		Application Number	10/608,404
		Filing Date	JUNE 26, 2003
		First Named Inventor	LIU, ET AL.
		Group Art Unit	4765 1742
		Examiner Name	UNKNOWN Alexander
		Attorney Docket Number	AMAT/5699.P3/CMP/CMP/RKK
Sheet 1	of 2	Submission Date	JANUARY 14, 2005

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
mfa	A1	US-6,541,384 B1	04/01/2003	LIZHONG, ET AL.	
	A2	US-2003/073311 A1	04/17/2003	JOSEPH, ET AL.	
	A3	US-2002/182982 A1	12/05/2002	JUI-LUNG, ET AL.	
	A4	US-2002/104764 A1	08/08/2002	GAUTAM, ET AL.	
	A5				
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	A18				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
mfa	B1	WO 03/72672	09/04/2003	LIU, ET AL.		
	B2					
	B3					
	B4					
	B5					

Examiner  Date Considered June 17, 2005

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(Use as many sheets as necessary)

Sheet 1

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Application Number

10/608.404

Filing Date

JUNE 26, 2003

First Named Inventor

LIU, ET AL.

Group Art Unit

~~1765~~ 1745

Examiner Name

~~UNKNOWN~~ Alexander

Attorney Docket Number

AMAT/5699.P3/CMP/CMP/RKK

Submission Date

April 22, 2004

FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A1	WO 99/46081 A1	09/16/1999	STRASBAUGH		

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Date Considered

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE																																																																																																						
<p>Application Number: 10/608404</p> <p>Confirmation Number: 7966</p> <p>First Named Applicant: FENG LIU</p> <p>Attorney Docket Number: AMAT5699P3</p> <p>Art Unit: 1765</p> <p>Search string: (6693036 or 6679929 or 6679928 or 6676484 or 6620215 or 6616976 or 6605537 or 6602112 or 6596638 or 6593239 or 6565619 or 6562719 or 6551935 or 6508952 or 6455479 or 6454819 or 6447371 or 6440186 or 6429133 or 6428721 or 6419554 or 6375693 or 6315883 or 6315803 or 6310019 or 6303551 or 6303049 or 6273786 or 6258721 or 6258711 or 6248222 or 6238592 or 6206756 or 6176992 or 6171352 or 6117783 or 6103096 or 6099604 or 6083840 or 6063306 or 6066030).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>MA</td><td>1</td><td>6693036</td><td>2004-02-17</td><td>Nogami, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6679929</td><td>2004-01-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>6679928</td><td>2004-01-20</td><td>Costas, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>6676484</td><td>2004-01-13</td><td>Chopra</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>6620215</td><td>2003-09-16</td><td>Li, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6616976</td><td>2003-09-09</td><td>Montano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>6605537</td><td>2003-08-12</td><td>Bian, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>6602112</td><td>2003-08-05</td><td>Tran, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>6596638</td><td>2003-07-22</td><td>Kondo, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>6593239</td><td>2003-07-15</td><td>Kaufman, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>6565619</td><td>2003-05-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	MA	1	6693036	2004-02-17	Nogami, et al.					2	6679929	2004-01-20	Asano, et al.					3	6679928	2004-01-20	Costas, et al.					4	6676484	2004-01-13	Chopra					5	6620215	2003-09-16	Li, et al.					6	6616976	2003-09-09	Montano, et al.					7	6605537	2003-08-12	Bian, et al.					8	6602112	2003-08-05	Tran, et al.					9	6596638	2003-07-22	Kondo, et al.					10	6593239	2003-07-15	Kaufman, et al.					11	6565619	2003-05-20	Asano, et al.			
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	16	6454819	2002-09-24	Yano, et al.
	17	6447371	2002-09-10	Brusic Kaufman, et al.
	18	6440186	2002-08-27	Sakai, et al.
	19	6429133	2002-08-06	Chopra
	20	6428721	2002-08-06	Ina, et al.
	21	6419554	2002-07-16	Chopra, et al.
	22	6375693	2002-04-23	Cote, et al.
	23	6315883	2001-11-13	Mayer, et al.
	24	6315803	2001-11-13	Ina, et al.
	25	6310019	2001-10-30	Kakizawa, et al.
	26	6303551	2001-10-16	Li, et al.
	27	6303049	2001-10-16	Lee, et al.
	28	6273786	2001-08-14	Chopra, et al.
	29	6258721	2001-07-10	Li, et al.
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	31	6248222	2001-06-19	Wang
	32	6238592	2001-05-29	Hardy, et al.
	33	6206756	2001-03-27	Chopra, et al.
	34	6176992	2001-01-23	Talieh
	35	6171352	2001-01-09	Lee, et al.
	36	6117783	2000-09-12	Small, et al.
	37	6103096	2000-08-15	Datta
	38	6099604	2000-08-08	Sandhu, et al.
	39	6083840	2000-07-04	Mravic, et al.
	40	6063306	2000-05-16	Kaufman, et al.
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Signature

Examiner Name	Date
	June 17, 2005



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Stylesheet Version v18.0

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US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

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FENG Q. LIU, ET AL.

Group Art Unit

7966-1742

Examiner Name

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AMAT/5699.P3/CMP/CMP/RKK

Submission Date

March 17, 2004

NON PATENT LITERATURE DOCUMENTS

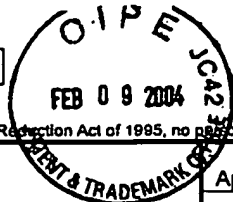
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PTO/SB/08a (08-03)

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Submission Date	February __, 2004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		Number-Kind Code ² (if known)				
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Examiner

MPA

Date Considered

June 17, 2005

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U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. AMAT/5699.P3/CMP/ CMP/RKK	Serial No. 10/608,404
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Liu, et al.	Confirmation No.: Unknown
(Use several sheets if necessary)		Filing Date June 26, 2003	Group Unknown 1742
Examiner Unknown			

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MPA	B2	1 103 346 A2	05/30/2001	EP	B24B	37/04	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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